



EQUIPMENT / VEHICLE EMISSION COMPLIANCE FORM LOCAL LAW 40 OF 2005 (Solid Waste or Recyclable Material Contract)

YOU MUST FILL OUT THIS FORM COMPLETELY IN ORDER TO RECEIVE A LOCAL LAW 40 COMPLIANCE DECAL FROM DEP

Contract Information

1. Contract Name or Number :		1a. Date Contract Awarded: From: _____ To: _____		2. Site Location / Address:			FOR OFFICIAL USE ONLY <i>DEP Issued Compliance Decal Number:</i>
3. NYC Agency Name:	4. Agency Contact Person:	5. Contact Phone # :		6. Block / Lot	7. Borough or County:	8. State:	

Solid Waste or Recyclable Material Contractor Information

9. Company Name:			10. Address:			11. Phone # :	
12. Authorized Representative:		13. Title	14. City:		15. State:	16. Zip:	17. E-Mail:

Equipment / Vehicle Information (On-Road or Off-Road)

18. Equipment Type:		19. Manufacturer:		20. Year:	
21. Vin or ID # :		22. Model:		23. <input type="checkbox"/> OWN <input type="checkbox"/> RENTAL	

Engine Information (On-Road or Off-Road)

24. Manufacturer:		25. Model # :		26. EPA Engine Family # :		27. Off-Road Tier (Select one): <input type="checkbox"/> I <input type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <i>If Tier IV is selected ³:</i> <input type="checkbox"/> INTERIM <input type="checkbox"/> FINAL	
28. Displacement in (L):		29. Serial # :		30. Year Manufactured:			

Diesel Emission Retrofit Information and Closed Crankcase Filtration System (CCFS)

32. Manufacturer:		33. Model:		34. Installation Date:	35. Closed Crankcase Filtration System (CCFS) Installed? <input type="checkbox"/> YES <input type="checkbox"/> NO		
35a. Retrofit Device Type: <input type="checkbox"/> DPF <input type="checkbox"/> FTF <input type="checkbox"/> DOC <input type="checkbox"/> Other:		36. Verification Number:		37. Verified By: <input type="checkbox"/> EPA <input type="checkbox"/> CARB		38. Verification ¹ Document Attached? <input type="checkbox"/> YES <input type="checkbox"/> NO	
39. Local Law 40 Classification Level (Select one): Level 4 <input type="checkbox"/> Level 3 <input type="checkbox"/> Level 2 <input type="checkbox"/> Level 1 <input type="checkbox"/>		40. DEP Waiver ² Required? <input type="checkbox"/> YES <input type="checkbox"/> NO					

I certify that the information provided is accurate as per the requirements of Local Law 40 of 2005 (LL 40). The installed retrofit device shall be a Verified System meeting EPA / CARB standards and satisfies all of the terms and conditions as set forth in the verification documents. Note: DEP will audit sites to ensure forms and documents are accurate, and that equipment is in full compliance with LL 40 requirements. Fines for non-compliance, or false claims will be subject to enforcement and penalties associated with failure to comply with LL 40.

41. Contractor's Signature

42. Date

43. Print Contractor Name

44. Title

¹ Attach Verification Letter or Executive Order / Supporting Documents For EPA or CARB Verified System.

² Attach copy of DEP Waiver if BAT requirement is waived for any reason during all or part of the contract period

³ Provide Engine Certificate