



THE CITY OF NEW YORK

**SCHEDULE B – M/WBE Utilization Plan**  
**Part I: M/WBE Participation Goals**

**Part I to be completed by contracting agency**

**Contract Overview**

<b>APT E- Pin #</b>	<u>26014P0005</u>	<b>FMS Project ID#:</b>	_____		
<b>Project Title/ Agency PIN #</b>	<u>SONYC PROGRAMS TA RFP</u>				
<b>Bid/Proposal Response Date</b>	<u>2014-07-23</u>				
<b>Contracting Agency</b>	<u>DYCD</u>				
<b>Agency Address</b>	_____	<b>City</b>	<u>NEW YORK</u>	<b>State</b>	<u>NY</u>
				<b>Zip Code</b>	<u>10038</u>
<b>Contact Person</b>	<u>Renise Ferguson</u>	<b>Title</b>	<u>Deputy ACCO</u>		
<b>Telephone #</b>	<u>212-442-8687</u>	<b>Email</b>	<u>referguson@dycd.nyc.gov</u>		

**Project Description** *(attach additional pages if necessary)*

Through this RFP, the Department of Youth and Community Development (DYCD) seeks qualified vendors to provide technical assistance (TA) to support DYCD -funded not-for-profit organizations that operate School's Out NYC (SONYC) programs for middle school youth. These technical assistance services are specifically for organizational development and fiscal management

**M/WBE Participation Goals for Services**

*Enter the percentage amount for each group or for an unspecified goal. Please note that there are no goals for Asian Americans in Professional Services.*

**Prime Contract Industry:** Professional Services

<b>Group</b>	<b>Percentage</b>
<u>Unspecified</u>	<u>10%</u>
or	
<u>Black American</u>	<u>0%</u>
<u>Hispanic American</u>	<u>0%</u>
<u>Asian American</u>	<u>0%</u>
<u>Women</u>	<u>0%</u>
<b>Total Participation Goals</b>	<u>10%</u>

**SCHEDULE B - Part II: M/WBE Participation Plan**

**Part II to be completed by the bidder/proposer.**

Please note: For Non-M/WBE Prime Contractors who will NOT subcontract any services and will self-perform the entire contract, you must obtain a FULL waiver by completing the Waiver Application on pages 5 and 6 and timely submitting it to the contracting agency pursuant to the Notice to Prospective Contractors. Once a FULL WAIVER is granted, it must be included with your bid or proposal and you do not have to complete or submit this form with your bid or proposal.

<b>Section I: Prime Contractor Contact Information</b>	
Tax ID # _____	FMS Vendor ID # _____
Business Name _____	Contact Person _____
Address _____	
Telephone # _____	Email _____

<b>Section II: M/WBE Utilization Goal Calculation: Check the applicable box and complete subsection.</b>
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<b>PRIME CONTRACTOR ADOPTING AGENCY M/WBE PARTICIPATION GOALS</b>				
<input type="checkbox"/> <b>For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Agency M/WBE Participation Goals.</b>  Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.  Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	<b>Total Bid/Proposal Value</b>  \$	X	<b>Agency Total Participation Goals (Line 1, Page 1)</b>  =	<b>Calculated M/WBE Participation Amount</b>  \$ Line 2

<b>PRIME CONTRACTOR OBTAINED PARTIAL WAIVER APPROVAL: ADOPTING MODIFIED M/WBE PARTICIPATION GOALS</b>				
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<input type="checkbox"/> <b>For Prime Contractors (including Qualified Joint Ventures and M/WBE firms) adopting Modified M/WBE Participation Goals.</b>  Calculate the total dollar value of your total bid that you agree will be awarded to M/WBE subcontractors for services and/or credited to an M/WBE prime contractor or Qualified Joint Venture.  Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation.	<b>Total Bid/Proposal Value</b>  \$	X	<b>Adjusted Participation Goal (From Partial Waiver)</b>  =	<b>Calculated M/WBE Participation Amount</b>  \$ Line 3
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**Section III: M/WBE Utilization Plan: How Proposer/Bidder Will Fulfill M/WBE Participation Goals. Please review the Notice to Prospective Contractors for more information on how to obtain credit for M/WBE participation. Check applicable box. The Proposer or Bidder will fulfill the M/WBE Participation Goals:**

As an M/WBE Prime Contractor that will self-perform and/or subcontract to other M/WBE firms a portion of the contract the value of which is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non-M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals. Please check all that apply to Prime Contractor:

MBE       WBE

As a Qualified Joint Venture with an M/WBE partner, in which the value of the M/WBE partner's participation and/or the value of any work subcontracted to other M/WBE firms is at least the amount located on Lines 2 or 3 above, as applicable. The value of any work subcontracted to non M/WBE firms will not be credited towards fulfillment of M/WBE Participation Goals.

As a non M/WBE Prime Contractor that will enter into subcontracts with M/WBE firms the value of which is at least the amount located on Lines 2 or 3 above, as applicable.

**Section IV: General Contract Information**

What is the expected percentage of the total contract dollar value that you expect to award in subcontracts for services, regardless of M/WBE status? % \_\_\_\_\_

*Enter brief description of the type(s) and dollar value of subcontracts for all/any services you plan on subcontracting if awarded this contract. For each item, indicate whether the work is designated for participation by MBEs and/or WBEs and the time frame in which such work is scheduled to begin and end. Use additional sheets if necessary.*

✓ Scopes of Subcontract Work

1. \_\_\_\_\_
2. \_\_\_\_\_
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14. \_\_\_\_\_
15. \_\_\_\_\_
16. \_\_\_\_\_
17. \_\_\_\_\_

**Section V: Vendor Certification and Required Affirmations**

*I hereby:*

- 1) acknowledge my understanding of the M/WBE participation requirements as set forth herein and the pertinent provisions of Section 6-129 of the Administrative Code of the City of New York ("Section 6-129"), and the rules promulgated thereunder;*
- 2) affirm that the information supplied in support of this M/WBE Utilization Plan is true and correct;*
- 3) agree, if awarded this Contract, to comply with the M/WBE participation requirements of this Contract, the pertinent provisions of Section 6-129, and the rules promulgated thereunder, all of which shall be deemed to be material terms of this Contract;*
- 4) agree and affirm that it is a material term of this Contract that the Vendor will award the total dollar value of the M/WBE Participation Goals to certified MBEs and/or WBEs, unless a full waiver is obtained or such goals are modified by the Agency; and*
- 5) agree and affirm, if awarded this Contract, to make all reasonable, good faith efforts to meet the M/WBE Participation Goals, or If a partial waiver is obtained or such goals are modified by the Agency, to meet the modified Participation Goals by soliciting and obtaining the participation of certified MBE and/or WBE firms.*

**Signature** \_\_\_\_\_

**Date** \_\_\_\_\_

**Print Name** \_\_\_\_\_

**Title** \_\_\_\_\_

**SCHEDULE B – PART III – REQUEST FOR WAIVER OF M/WBE PARTICIPATION REQUIREMENT**

**Contract Overview**

Tax ID # \_\_\_\_\_ FMS Vendor ID # \_\_\_\_\_  
 Business Name \_\_\_\_\_  
 Contact Name \_\_\_\_\_ Telephone # \_\_\_\_\_ Email \_\_\_\_\_  
 Type of Procurement  Competitive Sealed Bids  Other Bid/Response Due Date \_\_\_\_\_  
 APT E-PIN # (for this procurement): 26014P0005 Contracting Agency: DYCD

**M/WBE Participation Goals as described in bid/solicitation documents**

\_\_\_\_\_ 10% Agency M/WBE Participation Goal

**Proposed M/WBE Participation Goal as anticipated by vendor seeking waiver**

\_\_\_\_\_ % of the total contract value anticipated in good faith by the bidder/proposer to be subcontracted for services and/or credited to an M/WBE Prime Contractor or Qualified Joint Venture.

**Basis for Waiver Request: Check appropriate box & explain in detail below (attach additional pages if needed)**

- Vendor does not subcontract services, and has the capacity and good faith intention to perform all such work itself with its own employees.
- Vendor subcontracts *some* of this type of work but at a *lower* % than bid/solicitation describes, and has the capacity and good faith intention to do so on this contract. (Attach subcontracting plan outlining services that the vendor will self-perform and subcontract to other vendors or consultants.)
- Vendor has other legitimate business reasons for proposing the M/WBE Participation Goal above. Explain under separate cover.

**References**

List 3 most recent contracts performed for NYC agencies (if any). Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.

CONTRACT NO.	AGENCY	DATE COMPLETED
Total Contract Amount \$ _____	Total Amount Subcontracted \$ _____	
Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____
CONTRACT NO. _____	AGENCY _____	DATE COMPLETED _____
Total Contract Amount \$ _____	Total Amount Subcontracted \$ _____	
Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____
CONTRACT NO. _____	AGENCY _____	DATE COMPLETED _____
Total Contract Amount \$ _____	Total Amount Subcontracted \$ _____	
Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____	Item of Work Subcontracted and Value of subcontract _____

**List 3 most recent contracts performed for other entities. Include information for each subcontract awarded in performance of such contracts. Add more pages if necessary.**

(Complete ONLY if vendor has performed fewer than 3 New York City contracts.)

<b>TYPE OF Contract</b> _____	<b>AGENCY/ENTITY</b> _____	<b>DATE COMPLETED</b> _____
<b>Manager at agency/entity that hired vendor (Name/Phone No./Email)</b> _____		
<b>Total Contract Amount</b> \$ _____	<b>Total Amount Subcontracted</b> \$ _____	
<b>Type of Work Subcontracted</b> _____		

<b>TYPE OF Contract</b> _____	<b>AGENCY/ENTITY</b> _____	<b>DATE COMPLETED</b> _____
<b>Manager at agency/entity that hired vendor (Name/Phone No./Email)</b> _____		
<b>Total Contract Amount</b> \$ _____	<b>Total Amount Subcontracted</b> \$ _____	
<b>Item of Work Subcontracted and Value of subcontract</b> _____	<b>Item of Work Subcontracted and Value of subcontract</b> _____	<b>Item of Work Subcontracted and Value of subcontract</b> _____

<b>TYPE OF Contract</b> _____	<b>AGENCY/ENTITY</b> _____	<b>DATE COMPLETED</b> _____
<b>Manager at agency/entity that hired vendor (Name/Phone No./Email)</b> _____		
<b>Total Contract Amount</b> \$ _____	<b>Total Amount Subcontracted</b> \$ _____	
<b>Item of Work Subcontracted and Value of subcontract</b> _____	<b>Item of Work Subcontracted and Value of subcontract</b> _____	<b>Item of Work Subcontracted and Value of subcontract</b> _____

**VENDOR CERTIFICATION:** *I hereby affirm that the information supplied in support of this waiver request is true and correct, and that this request is made in good faith.*

<b>Signature:</b> _____	<b>Date:</b> _____
<b>Print Name:</b> _____	<b>Title:</b> _____

*Shaded area below is for agency completion only*

**AGENCY CHIEF CONTRACTING OFFICER APPROVAL**  
**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**CITY CHIEF PROCUREMENT OFFICER APPROVAL**  
**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Waiver Determination**

Full Waiver Approved:

Waiver Denied:

Partial Waiver Approved:

Revised Participation Goal: \_\_\_\_\_ %